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On Spaces of Lipschitz Maps with Values in a Uniform Algebra

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In this paper, linear always means complex linear, especially Banach algebra always means complex Banach algebra. Isometries with respect to the s-norm between vector valued Lipschitz spaces were studied by Hatori and Oi [2]. We prove a version of their results (Main Theorem A). There are literatures which study isometries with respect to the max-norm between vector valued Lipschitz spaces [1, 4]. In this paper, we exhibit the form of isometries with respect to the max-norm under an additional condition (Main Theorem B) (cf. [7].).

1 Definitions

In this section, we introduce some basic definitions.

Definition 1.1. Let X be a compact metric space and E a normed space. A map $f : X \longrightarrow E$ is called a *Lipschitz map* if

$$(L(f) :=) \sup_{\substack{x, y \in X \\ x \neq y}} \frac{\|f(x) - f(y)\|_E}{d(x, y)} < \infty.$$

The number $L(f)$ is called *the Lipschitz constant of f* . We shall denote by $\text{Lip}(X, E)$ the space of all Lipschitz maps from X into E . We write the space $\text{Lip}(X, \mathbb{C})$ just by

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$\text{Lip}(X)$ for simplification. There are several norms on the Lipschitz space $\text{Lip}(X, E)$: *s-norm* $\|\cdot\|_s$ is defined by $\|\cdot\|_s = \|\cdot\|_\infty + L(\cdot)$, and *max-norm* $\|\cdot\|_{\max}$ by $\|\cdot\|_{\max} = \max\{\|\cdot\|_\infty, L(\cdot)\}$. If B is a Banach algebra, the space $(\text{Lip}(X, B), \|\cdot\|_s)$ is a Banach algebra, and the space $(\text{Lip}(X, B), \|\cdot\|_{\max})$ is a Banach space (In general, submultiplicativity needs not hold.).

2 Main Theorem A

The next is the theorem of isometries with respect to the s-norm between Lipschitz spaces. In this section, we give an outline of the proof of this theorem.

Theorem 2.1 (Main Theorem A). *For $j = 1, 2$, let X_j be a compact metric space, Y_j a compact Hausdorff space, and A_j a uniform algebra. If $U : (\text{Lip}(X_1, A_1), \|\cdot\|_s) \longrightarrow (\text{Lip}(X_2, A_2), \|\cdot\|_s)$ is a unital surjective linear isometry, then there exist*

- *a continuous map $\psi : X_2 \times \text{Ch}(A_2) \longrightarrow X_1$ such that for every $y' \in \text{Ch}(A_2)$ $\psi(\cdot, y') : X_2 \longrightarrow X_1$ is a surjective isometry,*

and

- *a homeomorphism $\tau : \text{Ch}(A_2) \longrightarrow \text{Ch}(A_1)$*

such that $(U(F)(x'))(y') = (F(\psi(x', y')))(\tau(y'))$ for every $x' \in X_2, y' \in \text{Ch}(A_2)$, and $F \in \text{Lip}(X_2, A_2)$.

Remark 2.2. A map U being unital means $U(1) = 1$. The space $\text{Ch}(A)$ denotes a Choquet boundary of A . (If Y is a compact metric space and A is a subspace of $(C(Y), \|\cdot\|_\infty)$, $\text{Ch}(A) = \{y \in Y \mid \tau_y \in \text{ext}\{\varphi \in A^* \mid \|\varphi\| = \varphi(1) = 1\}\}$ where τ_y is the evaluation map at $y \in Y$.)

Outline of the proof of the Main Theorem A

First, we regard $\text{Lip}(X_j, A_j)$ as a subspace of $C(X_j \times Y_j)$. We apply a theorem of Jarosz [3], then we find that U is an isometry also with respect to the supremum norm. Using partition of unity, we find that the uniform closure of $\text{Lip}(X_j, A_j)$ coincides with $C(X_j, A_j)$. So we can extend U from $C(X_1, A_1)$ onto $C(X_2, A_2)$ which is a unital surjective linear isometry with respect to the supremum norm. We denote this

map by \tilde{U}^∞ . We define maps

$$\begin{aligned} S : \{ \varphi' \in C(X_2, A_2)^* \mid \|\varphi'\| = \varphi'(1) = 1 \} \\ \longrightarrow \{ \varphi \in C(X_1, A_1)^* \mid \|\varphi\| = \varphi(1) = 1 \} \end{aligned}$$

by $S(\varphi') := \varphi' \circ \tilde{U}^\infty$ and

$$\begin{aligned} S' : \{ \varphi \in C(X_1, A_1)^* \mid \|\varphi\| = \varphi(1) = 1 \} \\ \longrightarrow \{ \varphi' \in C(X_2, A_2)^* \mid \|\varphi'\| = \varphi'(1) = 1 \} \end{aligned}$$

by $S'(\varphi) := \varphi \circ (\tilde{U}^\infty)^{-1}$. Then, S and S' are well-defined, S' is an inverse map of S , and S is a w^* -homeomorphism.

For $j = 1, 2$, we define a set

$$K_j := \text{ext} \{ \varphi \in C(X_j, A_j)^* \mid \|\varphi\| = \varphi(1) = 1 \}.$$

Then we find that $S(K_2) = K_1$ by some easy argument of extreme points. We note that the Choquet boundary of $C(X_j, A_j)$ coincides with $X_j \times \text{Ch}(A_j)$. If we define a homeomorphism $\Phi_j : X_j \times \text{Ch}(A_j) \longrightarrow K_j$ by $\Phi_j(x, y) = \varphi_{(x, y)}$ where $\varphi_{(x, y)}$ is the evaluation at (x, y) for $j = 1, 2$, then the map $\Phi_1^{-1} \circ S \circ \Phi_2$ is a homeomorphism between $X_2 \times \text{Ch}(A_2)$ and $X_1 \times \text{Ch}(A_1)$. So we can define continuous maps $\psi_1 : X_2 \times \text{Ch}(A_2) \longrightarrow X_1$, $\psi_2 : X_2 \times \text{Ch}(A_2) \longrightarrow \text{Ch}(A_1)$ by $(\psi_1, \psi_2) = \Phi_1^{-1} \circ S \circ \Phi_2$. By the similar way, we consider the homeomorphism $\Phi_2^{-1} \circ S^{-1} \circ \Phi_1$ between $X_1 \times \text{Ch}(A_1)$ and $X_2 \times \text{Ch}(A_2)$, and define continuous maps $\psi'_1 : X_1 \times \text{Ch}(A_1) \longrightarrow X_2$, $\psi'_2 : X_1 \times \text{Ch}(A_1) \longrightarrow \text{Ch}(A_2)$ by $(\psi'_1, \psi'_2) = \Phi_2^{-1} \circ S^{-1} \circ \Phi_1$. Then for every $x' \in X_2$ and $y' \in \text{Ch}(A_2)$, $((U(F))(x'))(y') = S(\varphi'_{(x', y')})(F) = (F(\psi_1(x', y')))(\psi_2(x', y'))$. We shall observe the maps ψ_1, ψ_2 .

At the first, We show that the map ψ_2 needs not depend on the first variable $x' \in X_2$, that is, the equality $\psi_2(x'_1, y') = \psi_2(x'_2, y')$ holds for any $x'_1, x'_2 \in X_2$ and $y' \in \text{Ch}(A_2)$. Suppose that there are $x_1^\circ \neq x_2^\circ \in X_2$ and $y^\circ \in \text{Ch}(A_2)$ such that $\psi_2(x_1^\circ, y^\circ) \neq \psi_2(x_2^\circ, y^\circ)$. Then there is $h \in A_1$ such that $h(\psi_2(x_1^\circ, y^\circ)) \neq h(\psi_2(x_2^\circ, y^\circ))$ since A_1 is a uniform algebra. By the direct computation, we assert that $L(1 \otimes h) = 0$ and $L(U(1 \otimes h)) \neq 0$. On the other hand U preserves the Lipschitz constant because U preserves the s -norm and the supremum norm. This is a contradiction. Hence the map ψ_2 needs not depend on the first variable.

Then we define continuous maps $\tau : \text{Ch}(A_2) \longrightarrow \text{Ch}(A_1)$ by $\tau(y') = \psi_2(x', y')$ ($y' \in \text{Ch}(A_2)$) for some $x' \in X_2$, and $\tau' : \text{Ch}(A_1) \longrightarrow \text{Ch}(A_2)$ by $\tau'(y) = \psi'_2(x, y)$

($y \in \text{Ch}(A_1)$) for some $x \in X_1$. We can check that the map τ is a homeomorphism between $\text{Ch}(A_2)$ and $\text{Ch}(A_1)$. Moreover, τ' is an inverse map of τ . On the other hand, the maps $\psi_1(\cdot, y') : X_2 \rightarrow X_1$ and $\psi'_1 : X_1 \rightarrow X_2$ are bijective for each $y' \in \text{Ch}(A_2)$ and $y \in \text{Ch}(A_1)$ respectively. Moreover, $\psi_1(\cdot, y') = \psi'_1(\cdot, \tau(y'))^{-1}$ and $\psi'_1(\cdot, \tau^{-1}(y))^{-1}$ hold for each $y' \in \text{Ch}(A_2)$ and $y \in \text{Ch}(A_1)$ respectively.

These indicate that it is sufficient to show that $\psi_1(\cdot, y'_0) : X_2 \rightarrow X_1$ is a contractive map for each $y'_0 \in \text{Ch}(A_2)$ which proves the Main Theorem A. Take $y'_0 \in \text{Ch}(A_2)$ arbitrarily. We prove that $d(\psi_1(x'_1, y'_0), \psi_1(x'_2, y'_0)) \leq d(x'_1, x'_2)$ for every distinct $x'_1, x'_2 \in X_2$. We define a function $f_{\psi_1(x'_2, y'_0)} : X_1 \rightarrow \mathbb{C}$ by $f_{\psi_1(x'_2, y'_0)}(x) = d(x, \psi_1(x'_2, y'_0))$ for $x \in X_1$. Then $f_{\psi_1(x'_2, y'_0)}$ is in $\text{Lip}(X_1)$ and $L(f_{\psi_1(x'_2, y'_0)}) = 1$. Therefore,

$$\begin{aligned}
& d(\psi_1(x'_1, y'_0), \psi_1(x'_2, y'_0)) \\
&= d(\psi_1(x'_1, y'_0), \psi_1(x'_2, y'_0)) - d(\psi_1(x'_2, y'_0), \psi_1(x'_2, y'_0)) \\
&= \left| f_{\psi_1(x'_2, y'_0)}(\psi_1(x'_1, y'_0)) - f_{\psi_1(x'_2, y'_0)}(\psi_1(x'_2, y'_0)) \right| \\
&= \left| \left((f_{\psi_1(x'_2, y'_0)} \otimes 1)(\psi_1(x'_1, y'_0)) \right)(\tau(y'_0)) - \left((f_{\psi_1(x'_2, y'_0)} \otimes 1)(\psi_1(x'_2, y'_0)) \right)(\tau(y'_0)) \right| \\
&= \left| \left(\left(U(f_{\psi_1(x'_2, y'_0)} \otimes 1) \right)(x'_1) \right)(y'_0) - \left(\left(U(f_{\psi_1(x'_2, y'_0)} \otimes 1) \right)(x'_2) \right)(y'_0) \right| \\
&\leq \left\| \left(U(f_{\psi_1(x'_2, y'_0)} \otimes 1) \right)(x'_1) - \left(U(f_{\psi_1(x'_2, y'_0)} \otimes 1) \right)(x'_2) \right\|_{\infty(Y_2)} \\
&\leq d(x'_1, x'_2) L \left(U(f_{\psi_1(x'_2, y'_0)} \otimes 1) \right).
\end{aligned}$$

Since U preserves the Lipschitz constant, we have

$$L \left(U(f_{\psi_1(x'_2, y'_0)} \otimes 1) \right) = L(f_{\psi_1(x'_2, y'_0)} \otimes 1) = L(f_{\psi_1(x'_2, y'_0)}) = 1.$$

Hence we have

$$\begin{aligned}
d(\psi_1(x'_1, y'_0), \psi_1(x'_2, y'_0)) &\leq d(x'_1, x'_2) L \left(U(f_{\psi_1(x'_2, y'_0)} \otimes 1) \right) \\
&= d(x'_1, x'_2)
\end{aligned}$$

and $\psi_1(\cdot, y'_0)$ is a contractive map. We complete the outline of the proof of the Main Theorem A.

□

3 Main Theorem B

In this section, we consider isometries with respect to the max-norm between Lipschitz spaces. We exhibit the Main Theorem B and give an outline of the proof of this theorem.

Definition 3.1 (K pair). Let X_1 and X_2 be compact metric spaces. We say that the ordered pair (X_1, X_2) of these two sets is a *K pair* if the following two conditions are satisfied.

- (K 1) For $j = 1, 2$, if we take any $x_1, x_2 \in X_j$, there are finitely many $x_1^\circ, \dots, x_n^\circ \in X_j$ such that $d(x_1, x_1^\circ) < 1$, $d(x_i^\circ, x_{i+1}^\circ) < 1$ ($i = 1, \dots, n-1$), $d(x_n^\circ, x_2) < 1$.
- (K 2) For any bijection $\psi : X_2 \longrightarrow X_1$ and positive ε , the following statement holds; if $x'_1, x'_2 \in X_2$ and $d(x'_1, x'_2) < \varepsilon$ implies that $d(\psi(x'_1), \psi(x'_2)) = d(x'_1, x'_2)$, then ψ is an isometry.

Theorem 3.2 (Main Theorem B). For $j = 1, 2$, let X_j be a compact metric space, Y_j a compact Hausdorff space, and A_j a uniform algebra. We assume that (X_1, X_2) is a *K pair*. If $U : (\text{Lip}(X_1, A_1), \|\cdot\|_{\max}) \longrightarrow (\text{Lip}(X_2, A_2), \|\cdot\|_{\max})$ is a unital surjective linear isometry, then there exist

- a continuous map $\psi : X_2 \times \text{Ch}(A_2) \longrightarrow X_1$ such that for every $y' \in \text{Ch}(A_2)$ $\psi(\cdot, y') : X_2 \longrightarrow X_1$ is a surjective isometry,

and

- a homeomorphism $\tau : \text{Ch}(A_2) \longrightarrow \text{Ch}(A_1)$

such that $(U(F)(x'))(y') = (F(\psi(x', y')))(\tau(y'))$ for every $x' \in X_2$, $y' \in \text{Ch}(A_2)$, and $F \in \text{Lip}(X_2, A_2)$.

Outline of the proof of the Main Theorem B

We can prove by the same way as the outline of the proof of Theorem 2.1 that there are continuous maps $\psi_1 : X_2 \times \text{Ch}(A_2) \longrightarrow X_1$, $\psi_2 : X_2 \times \text{Ch}(A_2) \longrightarrow \text{Ch}(A_1)$ such that for every $x' \in X_2$, $y' \in \text{Ch}(A_2)$, $((U(F))(x'))(y') = (F(\psi_1(x', y')))(\psi_2(x', y'))$ holds.

At the first, we show that the map ψ_2 needs not depend on the first variable $x' \in X_2$, that is, the equality $\psi_2(x'_1, y') = \psi_2(x'_2, y')$ holds for any $x'_1, x'_2 \in X_2$ and $y' \in \text{Ch}(A_2)$. By the condition (K 1), it suffices to show that the equality $\psi_2(x'_1, y') = \psi_2(x'_2, y')$ holds for every $x'_1, x'_2 \in X_2$ with $d(x'_1, x'_2) < 1$ and $y' \in \text{Ch}(A_2)$. If not, there exist $x_1^\circ, x_2^\circ \in X_2$ with $d(x_1^\circ, x_2^\circ) < 1$ and $y^\circ \in \text{Ch}(A_2)$ such that $\psi_2(x_1^\circ, y^\circ) \neq \psi_2(x_2^\circ, y^\circ)$. Let $\varepsilon_0 = \frac{1-d(x_1^\circ, x_2^\circ)}{2}$. We take an open neighborhood $V \subset Y_1$ of $\psi_2(x_1^\circ, y^\circ)$ which doesn't contain $\psi_2(x_2^\circ, y^\circ)$. Then there is a peaking function $h \in A_1$ such that $h(\psi_2(x_1^\circ, y^\circ)) = 1$, and $|h(y)| < \varepsilon_0$ for every $y \in Y_1 \setminus V$. Especially $|h(\psi_2(x_2^\circ, y^\circ))| < \varepsilon_0$. It is clear that $\|1 \otimes h\|_{\max} = 1$. On the other hand,

$$\begin{aligned} L(U(1 \otimes h)) &\geq \frac{|U(1 \otimes h)(x_1^\circ, y^\circ) - U(1 \otimes h)(x_2^\circ, y^\circ)|}{d(x_1^\circ, x_2^\circ)} \\ &= \frac{|h(\psi_2(x_1^\circ, y^\circ)) - h(\psi_2(x_2^\circ, y^\circ))|}{d(x_1^\circ, x_2^\circ)} \\ &> \frac{1 - 2\varepsilon_0}{d(x_1^\circ, x_2^\circ)} = 1 \end{aligned}$$

holds, hence we get $\|U(1 \otimes h)\|_{\max} > 1$. This contradicts to the fact that U preserves the max-norm. Thus ψ_2 needs not depend on the first variable.

By the Theorem of Jarosz [3], U is also an isometry with respect to the supremum norm. We can extend U from the uniform closure of $\text{Lip}(X_1, A_1)$, which is $C(X_1, A_1)$, onto the uniform closure of $\text{Lip}(X_2, A_2)$, which is $C(X_2, A_2)$, that is a unital surjective linear isometry with respect to the supremum norm. Since $C(X_j, A_j)$ is a uniform algebra, a theorem of Nagasawa [5] yields that U is multiplicative. For each $y' \in \text{Ch}(A_2)$, we define a map $U_{y'} : \text{Lip}(X_1) \longrightarrow \text{Lip}(X_2)$ by

$$U_{y'}(f) = ((U(f \otimes 1))(\cdot))(y')$$

for $f \in \text{Lip}(X_1)$. $U_{y'}$ is a unital homomorphism. So by [6, Theorem 5-1], there is a Lipschitz map $\phi_{y'} : X_2 \longrightarrow X_1$ such that $U_{y'}(f) = f \circ \phi_{y'}$ for every $f \in \text{Lip}(X_1)$. It is easy to check the equality $\phi_{y'} = \psi_1(\cdot, y')$. Hence $\psi_1(\cdot, y')$ is a Lipschitz map.

Next we prove that $\psi_1(\cdot, y') : X_2 \longrightarrow X_1$ is a surjective isometry for each $y' \in \text{Ch}(A_2)$. Let $\varepsilon_0 = \frac{1}{\max\{1, L(\psi_1(\cdot, y'))\}}$. By (K 2) and the descriptions in the outline of the proof of Theorem 2.1, it suffices to show that for every $x'_1, x'_2 \in X_2$ with $d(x'_1, x'_2) < \varepsilon_0$, the equality $d(x'_1, x'_2) \geq d(\psi_1(x'_1, y'), \psi_1(x'_2, y'))$ holds. We define $f_{\psi_1(x'_2, y')} \in \text{Lip}(X_1)$ by $f_{\psi_1(x'_2, y')}(x) = \min\{d(x, \psi_1(x'_2, y')), 1\}$ for

$x \in X_1$, then we have $L\left(f_{\psi_1(x'_2, y')}\right) \leq 1$, $\left\|f_{\psi_1(x'_2, y')}\right\|_\infty \leq 1$. By the definition of ε_0 , we get $d(\psi_1(x'_1, y'), \psi_1(x'_2, y')) \leq 1$. Hence $f_{\psi_1(x'_2, y')}(\psi_1(x'_1, y')) = d(\psi_1(x'_1, y'), \psi_1(x'_2, y'))$, and

$$\begin{aligned} & d(\psi_1(x'_1, y'), \psi_1(x'_2, y')) \\ &= \left| f_{\psi_1(x'_2, y')}(\psi_1(x'_1, y')) - f_{\psi_1(x'_2, y')}(\psi_1(x'_2, y')) \right| \\ &= \left| \left(U\left(f_{\psi_1(x'_2, y')} \otimes 1\right)(x'_1) \right)(y') - \left(U\left(f_{\psi_1(x'_2, y')} \otimes 1\right)(x'_2) \right)(y') \right| \\ &\leq \left\| U\left(f_{\psi_1(x'_2, y')} \otimes 1\right)(x'_1) - U\left(f_{\psi_1(x'_2, y')} \otimes 1\right)(x'_2) \right\|_{\infty(Y_2)} \\ &\leq L\left(U\left(f_{\psi_1(x'_2, y')} \otimes 1\right)\right) d(x'_1, x'_2) \leq d(x'_1, x'_2). \end{aligned}$$

Thus we get the desired inequality. Now we complete the outline of the proof of the Main Theorem B. □

In the next section, we observe some examples of K pairs, and Main Theorem B without the condition, K pair.

4 K pairs

In the Main Theorem B, we assume that (X_1, X_2) is a K pair. We give some examples of K pairs.

Example 4.1.

1. If $a < b$, the pair of closed intervals $([a, b], [a, b])$ is a K pair.
2. Let $\overline{\mathbb{D}} = \{z \in \mathbb{C} \mid |z| \leq 1\}$ with the usual distance, then $(\overline{\mathbb{D}}, \overline{\mathbb{D}})$ is a K pair.
3. Let $K = (\{0\} \times [-1, 1]) \cup (\{t, \frac{1}{2}t \mid 0 \leq t \leq 2\}) \cup (\{t, -\frac{1}{2}t \mid 0 \leq t \leq 2\}) \subset \mathbb{R}^2$ with the usual distance, then (K, K) is a K pair.

It is not difficult to check that these three pairs above are K pairs.

Example 4.2. Let $X_1 = X_2 = Y_1 = Y_2 = \{a, b\}$ where the distance of a and b is 2, then (X_1, X_2) is not a K pair because it doesn't satisfy (K 1). We define a map $\phi: X_2 \times Y_2 \longrightarrow X_1 \times Y_1$ by

$$\phi((a, a)) = (a, a), \quad \phi((a, b)) = (b, a)$$

$$\phi((b, a)) = (a, b), \quad \phi((b, b)) = (b, b)$$

and maps $\psi_1 : X_2 \times Y_2 \longrightarrow X_1$, $\psi_2 : X_2 \times Y_2 \longrightarrow Y_1$ by $\phi = (\psi_1, \psi_2)$. Let $U : \text{Lip}(X_1, C(Y_1)) \longrightarrow \text{Lip}(X_2, C(Y_2))$ be

$$((U(F))(x'))(y') = (F(\psi_1(x', y')))(\psi_2(x', y'))$$

for $x' \in X_2$, $y' \in Y_2$, and $F \in \text{Lip}(X_1, C(Y_1))$. Then U is a unital surjective linear isometry with respect to the max-norm. Actually for every $F \in \text{Lip}(X_j, C(Y_j))$,

$$L(F) = \frac{\|F(a) - F(b)\|_\infty}{2} \leq \|F\|_\infty.$$

Hence the max-norm coincides with the supremum norm in this case. The map U is clearly an isometry with respect to the supremum norm. But U cannot be represented as the form in Theorem 3.2.

Example 4.3. Let $H = (\{0\} \times [-1, 1]) \cup ([0, 3] \times \{0\}) \cup (\{3\} \times [-1, 1]) \subset \mathbb{R}^2$ with the usual distance. Then (H, H) is not a K pair. To prove this, we define a bijection $\psi : H \longrightarrow H$ by

$$\psi((x, y)) = \begin{cases} (x, y) & ((x, y) \in \{0\} \times [-1, 1]) \\ (x, y) & ((x, y) \in [0, 3] \times \{0\}) \\ (x, -y) & ((x, y) \in \{3\} \times [-1, 1]) \end{cases}.$$

Then $d((x_1, y_1), (x_2, y_2)) < 2$ implies that $d((x_1, y_1), (x_2, y_2)) = d(\psi((x_1, y_1)), \psi((x_2, y_2)))$ but ψ is not an isometry. Let Y be any compact Hausdorff space. We define a map $U : \text{Lip}(H, C(Y)) \longrightarrow \text{Lip}(H, C(Y))$ by

$$((U(F))(x'))(y') = (F(\psi(x')))(y')$$

for $x' \in H$, $y' \in Y$, and $F \in \text{Lip}(H, C(Y))$. This U is not represented by the form in Theorem 3.2, but U is a unital surjective linear isometry with respect to the max-norm.

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